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January 6, 2004

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Office of Initial Patent Examination's
Filing Receipt Corrections
U. S. Patent and Trademark Office
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**Re: U. S. Patent Application of Paul Spivak Entitled UV LED LIGHT
PROJECTION METHOD AND APPARATUS, Serial No. 10/633,920,
Filed August 4, 2003, Confirmation No. 4940
Docket No. SPV-10-5707**

Sir:

The above entitled application was initially filed with a **Request for Early Publication Under 37 C.F.R. 1.219**. However, the Filing Receipt indicated that **No Early Publication Request** was filed. In addition, the fee in the amount of \$300.00, which was authorized to be charged to Deposit Account No. 500645, was never deducted. Copies of the following documents evidencing the filing of the publication request are attached:

Request for Early Publication of Utility Patent Application Under 37 C.F.R. 1.219 with authorization for the fee to be charged to Deposit Account No. 500645 signed by Patrick L. Daugherty

New Utility Patent Application Transmittal listing the Request for Early Publication as an enclosure with the application documents, also signed by Mr. Daugherty

Certificate of Express Mail identifying the Request for Early Publication as an enclosure signed by Carole Giacomazzo

Post card listing early publication request as an enclosure and filing date/Serial No. stamped by the Patent Office

Filing Receipt listing "No" after receipt of Early Publication Request

Please correct your records as soon as possible. Also, we would appreciate issuance of a corrected filing receipt with a revised projected publication date and immediate placement of the application in the appropriate category for such early publication. If anything further will be required, please contact the undersigned.

Respectfully submitted,


Patrick J. Daugherty, Reg. No. 41,697
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Enclosures
PJD:cg

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/633,920	08/04/2003	2881	375	SPV-10-5707	2	18	3

CONFIRMATION NO. 4940

023266

DRIGGS, LUCAS, BRUBAKER & HOGG CO., L.P.A.

DEPT. DLBH

8522 EAST AVENUE

MENTOR, OH 44060

FILING RECEIPT



OC00000011154694

Date Mailed: 10/31/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul Spivak, Avon Lake, OH;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/477,685 06/11/2003

Foreign Applications

If Required, Foreign Filing License Granted: 10/31/2003

Projected Publication Date: 12/16/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

UV LED light projection method and apparatus

Preliminary Class

DOCKETED
DATE 10-31-2003
SPV-10-5707

250

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof, unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NEW UTILITY PATENT APPLICATION
TRANSMITTAL**

 DOCKET NO.
SPV-10-5707

 TOTAL PAGES IN THIS SUBMISSION
3

FEE CALCULATION AND TRANSMITTAL
CLAIMS AS FILED

1) For	2) Number Filed	3) Number Extra	Rate	Additional Fee
TOTAL CLAIMS (37 CFR 1.16(c))	18 - 20 =	- 0 -	X \$ 9.00	- 0 -
INDEPENDENT CLAIMS (37 CFR 1.16(c))	3 - 3 =	- 0 -	X \$ 42.00	- 0 -
First Pres. Of Multiple Dep. Claims	= 0			
Basic Fee	= 0			\$375.00
			TOTAL	\$375.00



A check in the amount of \$375.00 to cover the filing fee is enclosed.
The Commissioner is hereby authorized to charge and credit Deposit Account No. 500645
as described below. A duplicate copy of this sheet is enclosed.



Charge the amount of _____ as filing fee.



Credit any overpayment or any deficiency.



Charge any additional filing fees required under 37 CFR 1.16 and 1.17 to Account No. 500645.

Respectfully submitted,

Date:

January 4, 2004

By:

Patrick J. Daugherty, Reg. No. 41,697
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PJD:cg

Enclosures

SPV-10-5707

Hon. Commissioner of
Patents & Trademarks
Washington, D.C. 20521

Atty. PTD
Dkt. no. SPN-10-5707
Date: AUGUST 4 2003

Please confirm receipt of the document(s) checked below by applying your date
stamp (Serial no): TO BE ASSIGNED

Applicant: SPIVAK

Title: "UV LED Light Projection Method and Apparatus"

☒ ~~Verifying Small Entry Status~~ Claiming Small Entry Status YES

☒ Spec: 16 pages + TRANSMITTAL (in duplicate)

☒ Claims 5 pages

☒ Drawings 2 pages

☒ Abstract: 1 pages

☒ Declaration, Power of Attorney & Petition 4 pages (faxed copy)

☐ Response/Amendment _____ pages

☐ Assignment: _____ pages

☒ Other (describe) ADS; Request for Early Publication; Certificate of Express Mail;

Amount: \$375.00 Manner of Paying: CHECK 8966

Date Rec'd. _____

Serial No. TO BE assigned

Express Mail No EL897085072 US



CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			DOCKET NO.
Applicant(s): Spivak			SPV-10-5707
Serial No.	Filing Date	Examiner	Group Art Unit
To be assigned	Filed Herewith		
Invention: UV LED LIGHT PROJECTION METHOD AND APPARATUS			
<p>I hereby certify that the following documents</p> <p>New Utility Patent Application Transmittal (in duplicate) with check for \$375.00 to cover filing fee</p> <p>Application Data Sheet</p> <p>Patent Application comprising 16 pages of specification, 5 pages of claims, 1 page of Abstract and 2 sheet of drawings</p> <p>Declaration and Power of Attorney for Patent Application (faxed copy)</p> <p>Request for Early Publication Under 37 C.F.R. 1.219 (in duplicate)</p> <p>Acknowledgement post card</p> <p>are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>August 4, 2003.</u></p> <p><u>Carole Giacomazzo</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Carole Giacomazzo</u> (Signature of Person Mailing Correspondence)</p> <p><u>EL 897035072 US</u> ("Express Mail" Mailing Label Number)</p>			

SPV-10-5707

NEW UTILITY PATENT APPLICATION TRANSMITTAL	DOCKET NO. SPV-10-5707
	TOTAL PAGES IN THIS SUBMISSION 3

Application Elements (Continued)

5. ☐ Incorporation by Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (*identical to computer copy*)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (*cover sheet & document(s)*)
9. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☒ Certificate of Mailing
☐ First Class ☒ Express Mail (*Specify Label No.*):
15. ☒ Small Entity Status being claimed
16. ☒ Application Data Sheet
17. ☒ Additional Enclosures (*please identify below*):

Request for Early Publication of Utility Patent Application Under 37 C.F.R. 1.219

SPV-10-5707

NEW UTILITY PATENT APPLICATION
TRANSMITTALDOCKET NO.
SPV-10-5707TOTAL PAGES IN THIS SUBMISSION
3TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

UV LED LIGHT PROJECTION METHOD AND APPARATUS

and invented by:

PAUL SPIVAK

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation☐ Divisional☐ Continuation -in -part (CIP)

in prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 22 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
 - a. ☒ Formal
 - b. ☐ InformalNumber of Sheets 2
4. ☒ Oath or Declaration
 - a. ☒ Newly executed (original or copy) ☐ Unexecuted
 - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
 - c. ☒ With Power of Attorney ☐ Without Power of Attorney

SPV-10-5707